

Non-Concatenative Allomorphy and Realize Morpheme

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Main Claim:

→ different non-concatenative allomorphs = one (abstract) phonological representation for the morpheme

→ an alternative OT approach based on REALIZE MORPHEME (Kurusu (2001)) is:

1. neither necessary (reanalysis in terms of abstract prosodic entities: section 1)
2. nor adequate (empirical mispredictions: section 2)

Data

(1) *NCA in Saanich* Montler (1986), Montler, Kurisu (2001)

| | NON-CONT | CONT | |
|--------------------------|-------------------|-----------------------------------|----------|
| a. <i>Metathesis</i> | | | |
| | q'p'ət | q'əp't | “patch” |
| | sxət | səxt | “push” |
| | t'sət | tóst | “break” |
| b. <i>Reduplication</i> | | | |
| | qən | qəqən | “steal” |
| | q ^w əl | q ^w əq ^w əl | “say” |
| | k ^w úl | k ^w úk ^w əl | “school” |
| c. <i>/ʔ/-infixation</i> | | | |
| | ʔíʔən | ʔíʔən | “eat” |
| | ʔámət | ʔáʔmət | “sleep” |
| | wéqəs | wéʔqəs | “yawn” |

(2) *NCA in Upriver Halkomelem* Galloway (1993), Kurisu (2001), Urbanczyk (1999)

| | NON-CONT | CONT | |
|----|--------------------------|------------------------|-----------|
| a. | <i>Reduplication</i> | | |
| | wíqəṣ | wíwəqəṣ | “yawn” |
| | t’íləm | t’ítələm | “sing” |
| b. | <i>hə-epenthesis</i> | | |
| | məqəṭ | həm̩qəṭ | “swallow” |
| | wóq ^w | hówq ^w | “drown” |
| c. | <i>Vowel lengthening</i> | | |
| | ʔ’iməx | ʔ’i:məx | “walk” |
| | háq ^w ət | há:q ^w ət | “smell” |
| d. | <i>Stress shift</i> | | |
| | ca:ləx ^w əm | cá:ləx ^w əm | “bleed” |
| | ʔəlqí | ʔəlqi | “soak” |

1 Analysis I: morphemes as empty prosodic categories

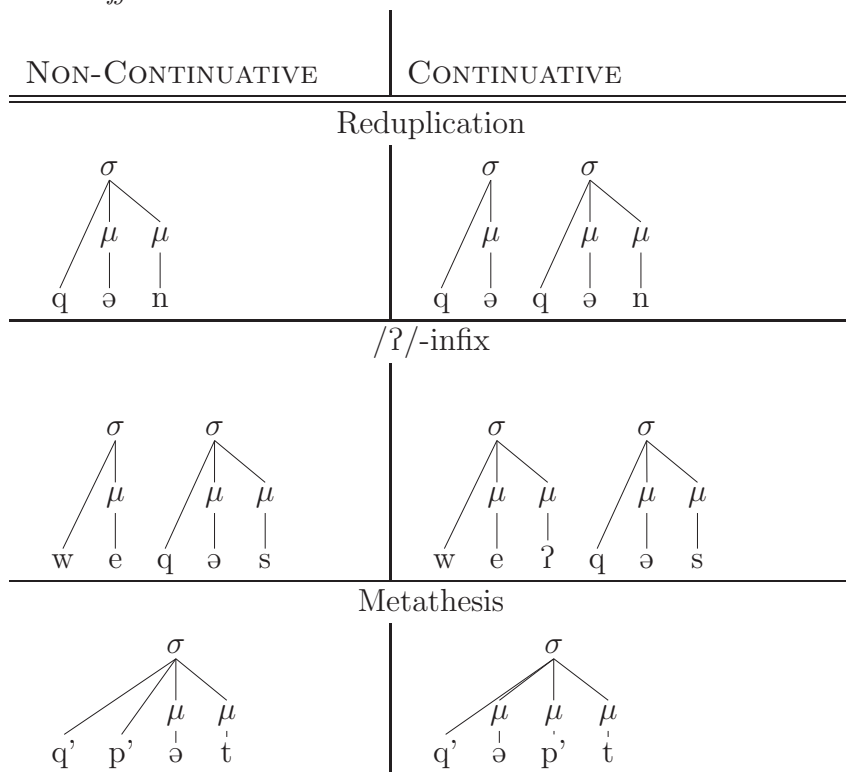
1.1 Affixation of a morphological mora

- affixation of a mora in e.g. Alabama (Grimes (2002)): imperfect is formed via vowel lengthening or consonant gemination (cf. also Samek-Lodovici (2002), Haugen and Kennard (1992))

(3) *Context for allomorphs: Saanich*

| NON-CONT | CONT |
|-----------------------|----------|
| <i>Metathesis</i> | |
| CCVC | CVCC |
| q’p’ət | q’əp’t |
| <i>Reduplication</i> | |
| CVC(C) | CVCVC(C) |
| qən | qəqən |
| <i>/ʔ/-infixation</i> | |
| elsewhere | |
| ʔíən | ʔíʔən |

→ different strategies to realize a morphemic mora, i.e. prosodic weight adjustment (e.g. (Stonham (1994), Stonham (2007), Buckley (2002))

(4) *Mora affixation in Saanich*

Note:

- /ʔ/ is an underlying infix (no epenthetic C) that is placed after the stressed vowel
- stress in Saanich is lexical: underlying structure is parsed into prosodic structure (otherwise a morphological mora would not have any phonological effect at all)

Which strategy is chosen in which context?

- ranking of faithfulness constraints demands preference order for allomorphs
- this follows since every non-concatenative morpheme violates some faithfulness constraint:

| | |
|---|---------------|
| (5) <i>(Non-concatenative) morphemes and faithfulness constraints</i> | Kurusu (2001) |
| metathesis | *LINEARITY |
| insertion | *DEP |
| subtraction | *MAX |
| fusion (haplology) | *UNIFORMITY |
| reduplication | *INTEGRITY |
| infixation | *CONTIGUITY |
| umlaut, mutation, suppletion | *IDENT |

e.g. *Saanich*:

- (6) Preference for allomorphs :
 ?-insertion \gg reduplication \gg metathesis

\implies Ranking of faithfulness constraints:
 LIN \gg INTEG \gg CONTIG

- and markedness constraints penalize certain strategies in certain contexts, i.e. for certain bases: a less preferred allomorph surfaces

1.2 Affixation of a morphological foot

- proposed by van Oostendorp (2006) for Modern Greek: stress is the only exponent for past

- (7) *Contexts for allomorphs in Upriver*

| NON-CONT | CONT |
|-----------------------------------|-------------------------------------|
| <i>Stress shifting</i> | |
| Stress on non-initial σ | |
| $\lambda\omega\acute{\omega}ls$ | $\lambda\acute{\omega}\omega ls$ |
| <i>Reduplication</i> | |
| #CV. | CV.CV. |
| $wiq\acute{\omega}s$ | $wiw\acute{\omega}q\acute{\omega}s$ |
| <i>hə-prefixing</i> | |
| #C _[+son] ə | həC _[+son] |
| $m\acute{\omega}q\acute{\omega}t$ | $h\acute{\omega}mq\acute{\omega}t$ |
| <i>Vowel lengthening</i> | |
| #C _{Laryngeal} V | #C _{Laryngeal} V: |
| $?i m\acute{\omega}x$ | $?i: m\acute{\omega}x$ |

→ a morphemic foot overwrites underlying prosodic structure: different strategies to form a “good” trochaic foot

- (8) *(Unmarked) Foot in Upriver* Kager (1999)
- a. RHT:T Feet have initial prominence.
 - b. ALLFTLEFT Every foot stands at the left edge fo the PrWd.
 - c. FTBIN Feet are binary under moraic or syllabic analysis.
 - d. STRESSED-VOWEL-TO-FOOT Every output vowel that corresponds to a stressed input vowel must be parsed into a foot.
 - e. WEIGHT-TO-STRESS A heavy syllable within a foot must be prominent.

- Prosodic weight is irrelevant for stress in Upriver (=lexical) but visible in this (morphological) context → a prediction, Optimality Theory make
- a morphological foot may overwrite underlying prosodic structure (MAX-FT), but underlyingly stressed vowels are at least parsed into the (morphemic) foot

(9) *Repair strategies to form an optimal foot*

| | | | |
|-------|---|-------------|------------------------|
| λείqí | ✓ | (λεί.qí) | |
| | ✗ | (λεί.qí) | *RHT:T |
| uíqəs | ✓ | (uí.wə.)qəs | |
| | ✗ | (uí.qəs) | *WEIGHT-TO-STRESS FOOT |
| məqət | ✓ | (həm.)qət | |
| | ✗ | (mə.qət) | *WEIGHT-TO-STRESS FOOT |
| ʔíməx | ✓ | (ʔí.)məx | |
| | ✗ | (ʔí.məx) | WEIGHT-TO-STRESS FOOT |

Which repair strategy is chosen?

(10) Preference for allomorphs :
stress shift ≫ hε-insertion ≫ reduplication ≫ vowel lengthening

⇒ Ranking of faithfulness constraints:
IDENT-LENGTH ≫ INTEG ≫ DEP

(11) Markedness constraints

- *PLACELESS σ Syllables must have a place feature.
- *STRESS-ə Only full vowels bear stress.

(12) *An example: /hə/-insertion in Upriver*

| məqət, () _{FT} | RHT:T | FTBIN | WTS | *ə | IDL | INT | DEP |
|--------------------------|-------|-------|-----|----|-----|-----|-----|
| a. (mə.qət) | | | *! | * | | | |
| b. (mə.)qət | | *! | | * | | | |
| c. (mə:.)qət | | | | * | *! | | |
| d. (mə.mə.)qət | | | | * | | *!* | |
| ☞ e. (həm.)qət | | | | * | | | ** |

2 Analysis II: Realize Morpheme

2.1 Kurisu's RM

- morphemes may consist of no phonological content at all: a general constraint demands that morphologically different forms must be phonologically different as well

(13) *Realize Morpheme* (Kurisu, 2001, 39)
 Let α be a morphological form, β be a morphological category, and $F(\alpha)$ be the phonological form from which $F(\alpha+\beta)$ is derived to express a morphosyntactic category β . Then RM is satisfied with respect to β iff $F(\alpha+\beta) \neq F(\alpha)$ phonologically.

→ a morpheme can be realized by any conceivable phonological operation a language's phonology provides

- the choice for one (non-concatenative) allomorphs in a certain context follows from the same ingredients as above:

1. a preference order for allomorphs
2. markedness constraint penalizing certain strategies for certain bases

(14) *Example: epenthesis in Upriver*

| máqə̀t _{Continuative} | ALIGN | RM | IDLENGTH | *ó | INT | DEP |
|--------------------------------|-------|----|----------|----|-----|-----|
| a. má.qə̀t | | *! | | * | | |
| b. má:.qə̀t | | | *! | * | | |
| c. má.mə.qə̀t | | | | * | *!* | |
| ☞ d. hámqə̀t | | | | * | | ** |
| e. mə.qə̀t | *! | | | * | | |

Difference between the two analyses?

REALIZE MORPHEME vs. MAX-FT/MAX- μ

Empirical problems in Kurisu's approach:

1. there are too many ways to "do anything": Kurisu's approach mispredicts unattested non-concatenative allomorphs in a language
2. there are too many ways to reorder segments in a string: Kurisu's approach mispredicts unattested types of morphological metathesis
3. a stem's choice for a certain allomorph can be made with reference to its underlying representation (=impossible output form) – but in Kurisu's system, the input into a RM competition must be a possible phonological output of the language

2.2 Too many ways to do anything

→ subtraction is predicted to become exponent of the continuative in Upriver

- unattested allomorphs in a language are excluded in Kurisu's system through high-ranked (=above RM) faithfulness constraints¹
- but in Upriver, an independent deletion-process in the continuative (stem-/ə/ is deleted if epenthetic /hə/ is prefixed, triggered by some markedness constraint MC) shows that MAX (at least for stem-/ə/) must be ranked at least under INTEG

(15) *Max-/ə/ must be ranked at least under Integ*

| móqəṭ _{Continuative} | INT | MC | MAX-ə | DEP |
|-------------------------------|-----|----|-------|-----|
| a. mṓ.mṓ.qəṭ | *!* | | | |
| ☞ b. hṓm.qəṭ | | | * | ** |
| c. hṓ.mṓ.qəṭ | | *! | | ** |

- but then, deletion of /ə/ (= one strategy to “do anything” and therefore to satisfy RM) is predicted for some stems:

(16) *Mispredicted subtraction*

| wíqəṣ _{Continuative} | ALIGN | RM | IDLENGTH | *ə | INT | MAX-ə | DEP |
|-------------------------------|-------|----|----------|----|-----|-------|-----|
| a. wí.qəṣ | | *! | | | | | |
| ☞ b. wí.wə.qəṣ | | | | | *!* | | |
| c. hṓw.qəṣ | | | | *! | | * | ** |
| d. wí:.qəṣ | | | *! | | | | |
| e. wí.qəṣ | *! | | | | | | |
| ☞ f. wíqṣ | | | | | | * | |

2.3 Too many ways to reorder

→ a metathesizing allomorph is only specified as LINEARITY-violating: (non-adjacent) CC-metathesis becomes a possible morphological exponent

- generalizations about metathesis:
 1. no non-adjacent metathesis (Cf. e.g. Hume (2004), Mielke and Hume (2001), Carpenter (2002), McCarthy (b) for discussion)
 2. only CV-metathesis is attested as morphological exponent

¹In his approach, all faithfulness constraints must be indexed for a certain morphological category.

Examples: morphological metathesis

- a. *Clallam, Thompson and Thompson (1971)*
ščé “pull” šǎč “pulling”
- b. *Rotuman, e.g. McCarthy (1989), McCarthy (2000), Hume*
hula “moon”(Compl.) hual “moon”(Incomplete)
- c. *Sierra Miwok, Hume (2004)*
kaláŋ “to dance” kalŋá “a dance”
- d. *Alesea, Buckley (1989), Buckley (2002)*
tums-a “(don’t) close it” tmus-x̣ “is closed”

- if the metathesizing allomorph is not specified any further, both kinds of unattested morphological metathesis are predicted to become exponents of the continuative in Saanich:

(17) *Unattested instances of metathesis*

| $x^h wq'p'ət$, $?_{\text{Continuative}}$ | RM | *COMPLONS | *COMPLEXCODA | LIN |
|---|----|-----------|--------------|-----|
| a. $x^h wq'p'ət$ | *! | | | |
| ☞ b. $x^h wq'əp't$ | | * | *! | * |
| ☞ c. $x^h q'wp'ət$ | | * | | * |

2.4 Context for an allomorph is an impossible output form

→ the choice for a continuative allomorph in Saanich depends on the lexical form of the stem, i.e. the context is masked in the non-continuative output form.

- Kurisu must assume: the phonological base that serves as input into the derivation of a morphologically complex form must be a possible output form of the language
- otherwise, phonologically predictable changes (e.g. assignment of syllable structure) would satisfy RM as well
- recall Kurisu’s generalization for the metathesizing continuative allomorph:

- (18) surface form in the non-continuative: continuative:
 CCVC → CVCC
 (q’p’ət) → q’əp’t)

This is empirically wrong:

- (19) *CVCVC non-continuative forms surface as CVCC in the continuative* (Montler, 1986, 186)
- | | | |
|---------|--------|--------|
| t’óm’ət | t’ómt | “hit” |
| q’óm’ət | q’óm’t | “cut” |
| čánət | čán’t | “bury” |

- Kurisu predicts /ʔ/-infixation as continuative form for those stems
- the correct generalization: **vowelless CC/CCC-stems undergo metathesis** (last C of the stem and first V of a suffix like /əʔ/ “control transitive” metathesize)
- some of those stems surface as CəC in the non-continuative since initial obstruent – resonant clusters are prohibited: phonologically conditioned /ə/ -epenthesis that masks the context for metathesis

3 Conclusion

→ non-concatenative allomorphs are different strategies to realize a morphemic empty prosodic categories (mora, foot)

→ this restricts allomorphs to certain phonological operations and avoids the mispredictions illustrated for a RM-based approach as Kurisu (2001)

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