

# DIRECT COMPOSITIONALITY

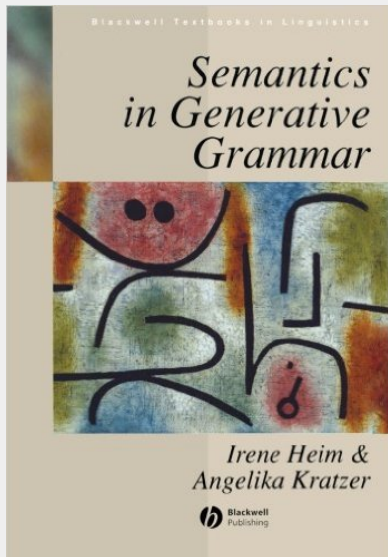
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UNIVERSITÄT LEIPZIG

WINTER SEMESTER, 2020

# **H&K AND COMPOSITIONALITY**

# SEMANTICS IN GENERATIVE GRAMMAR



- Binary branching nodes

$$\left[ \begin{array}{c} \bullet \\ / \quad \backslash \\ \alpha \quad \beta \end{array} \right]^g = \llbracket \alpha \rrbracket^g \oplus \llbracket \beta \rrbracket^g$$

- Unary branching nodes

$$\left[ \begin{array}{c} \bullet \\ | \\ \alpha \end{array} \right]^g = \llbracket \alpha \rrbracket^g$$

- Binding

$$\left[ \begin{array}{c} \bullet \\ / \quad \backslash \\ i \quad \alpha \end{array} \right]^g = \lambda x. \llbracket \alpha \rrbracket^{g[i:=x]}$$

- Traces

$$\llbracket t_i \rrbracket^g = g(i)$$

# PARTS AND THEIR MEANINGS

Most expressions don't have any meaning

$$\begin{aligned} \left[ \begin{array}{c} \text{V} \\ \text{praise} \text{ D} \\ \text{every} \text{ N} \\ \text{boy} \end{array} \right]^g &= \llbracket \textit{praise} \rrbracket^g \oplus \left[ \begin{array}{c} \text{D} \\ \text{every} \text{ N} \\ \text{boy} \end{array} \right]^g \\ &= \llbracket \textit{praise} \rrbracket^g \oplus (\llbracket \textit{every} \rrbracket^g \oplus \llbracket \textit{boy} \rrbracket^g) \end{aligned}$$

$$\llbracket \textit{every} \rrbracket^g \oplus \llbracket \textit{boy} \rrbracket^g : (et)t \quad \llbracket \textit{praise} \rrbracket^g : eet$$

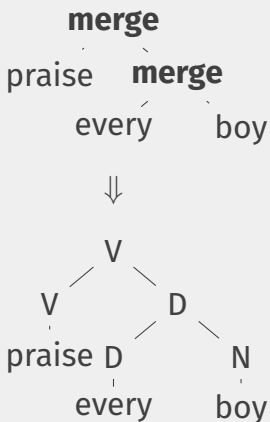
these cannot be combined!

$$\text{FA } \alpha\beta \rightarrow \alpha \rightarrow \beta$$

$$\text{BA } \alpha \rightarrow \alpha\beta \rightarrow \beta$$

$$\text{PM } \alpha t \rightarrow \alpha t \rightarrow \alpha t$$

# REVISITING MEANINGLESS PARTS



What is the contribution of *praise every boy* to expressions it is part of?

**a quantifier part**  $\text{every}(\text{boy})(\lambda x. \dots)$   
**and a property part**  $\text{praise}(x)$

Let's write instead:

$[\text{every}(\text{boy})]_x \vdash \text{praise}(x)$

# NOTATION AND OPERATIONS

$$[\mathbf{every(boy)}]_x \vdash \mathbf{praise}(x)$$

the general case:

$$[Q_1]_{x_1}, \dots, [Q_i]_{x_i} \vdash M$$

The entire point

is to ignore what is stored

$$\frac{M}{\vdash M} \uparrow$$
$$\frac{\Gamma \vdash M \quad \Delta \vdash N}{\Gamma, \Delta \vdash MN} \langle * \rangle$$

# WORKING WITH STORAGE

$$\frac{\frac{\text{seem}}{\vdash \text{seem}} \uparrow \quad \frac{\frac{\text{Pass}}{\vdash \text{Pass}} \uparrow \quad \begin{array}{c} \vdots \\ [\text{every}(\text{boy})]_x \vdash \text{praise}(x) \end{array}}{[\text{every}(\text{boy})]_x \vdash \text{Pass}(\text{praise}(x))} \langle * \rangle}{[\text{every}(\text{boy})]_x \vdash \text{seem}(\text{Pass}(\text{praise}(x)))} \langle * \rangle$$

# BUILDING PRAISE EVERY BOY

$$\frac{\frac{\text{praise}}{\vdash \text{praise}} \uparrow \quad \frac{\frac{\text{every}}{\vdash \text{every}} \uparrow \quad \frac{\text{boy}}{\vdash \text{boy}} \uparrow}{\vdash \text{every boy}} \langle * \rangle}{\vdash \text{praise every boy}} \langle * \rangle$$

type mismatch!



# BUILDING PRAISE EVERY BOY

$$\frac{\text{praise}}{\vdash \text{praise}} \uparrow \quad \frac{\frac{\text{every}}{\vdash \text{every}} \uparrow \quad \frac{\text{boy}}{\vdash \text{boy}} \uparrow}{\vdash \text{every boy}} \langle * \rangle$$

We want to 'insert a trace'

$$\frac{\vdash M}{[M]_x \vdash x} \square$$

# BUILDING PRAISE EVERY BOY

$$\frac{\text{praise}}{\vdash \text{praise}} \uparrow \quad \frac{\frac{\text{every}}{\vdash \text{every}} \uparrow \quad \frac{\text{boy}}{\vdash \text{boy}} \uparrow}{\vdash \text{every boy}} \langle * \rangle}{\frac{\text{praise}}{\vdash \text{praise}} \uparrow \quad \frac{\text{every}}{\vdash \text{every}} \uparrow \quad \frac{\text{boy}}{\vdash \text{boy}} \uparrow}{\vdash \text{every boy}} \langle * \rangle} \square$$

$[\text{every boy}]_x \vdash x$  □

We want to 'insert a trace'

$$\frac{\vdash M}{[M]_x \vdash x} \square$$

# BUILDING PRAISE EVERY BOY

$$\frac{\frac{\text{praise}}{\vdash \text{praise}} \uparrow \quad \frac{\frac{\text{every}}{\vdash \text{every}} \uparrow \quad \frac{\text{boy}}{\vdash \text{boy}} \uparrow}{\vdash \text{every boy}} \langle * \rangle}{\frac{\vdash \text{every boy}}{[\text{every boy}]_x \vdash x} \square} \langle * \rangle}{[\text{every boy}]_x \vdash \text{praise } x}$$

We want to 'insert a trace'

$$\frac{\vdash M}{[M]_x \vdash x} \square$$

# TAKING THINGS OUT OF STORAGE

$$\frac{\frac{\text{seem}}{\vdash \text{seem}} \uparrow \quad \frac{\frac{\text{Pass}}{\vdash \text{Pass}} \uparrow \quad \begin{array}{c} \vdots \\ [\text{every}(\text{boy})]_x \vdash \text{praise}(x) \end{array}}{[\text{every}(\text{boy})]_x \vdash \text{Pass}(\text{praise}(x))} \langle * \rangle}{[\text{every}(\text{boy})]_x \vdash \text{seem}(\text{Pass}(\text{praise}(x)))} \langle * \rangle$$

# TAKING THINGS OUT OF STORAGE

$$\frac{\frac{\text{seem}}{\vdash \text{seem}} \uparrow \quad \frac{\text{Pass}}{\vdash \text{Pass}} \uparrow \quad \begin{array}{c} \vdots \\ [\text{every}(\text{boy})]_x \vdash \text{praise}(x) \end{array}}{[\text{every}(\text{boy})]_x \vdash \text{Pass}(\text{praise}(x))} \langle * \rangle}{[\text{every}(\text{boy})]_x \vdash \text{seem}(\text{Pass}(\text{praise}(x)))} \langle * \rangle$$

retrieval

$$\frac{\Gamma, [M_i]_{x_i}, \Delta \vdash N}{\Gamma, \Delta \vdash M_i \oplus (\lambda x_i. N)} [\oplus]_i$$

# TAKING THINGS OUT OF STORAGE

$$\frac{\frac{\text{seem}}{\vdash \text{seem}} \uparrow \quad \frac{\text{Pass}}{\vdash \text{Pass}} \uparrow \quad \begin{array}{c} \vdots \\ [\text{every}(\text{boy})]_x \vdash \text{praise}(x) \end{array}}{[\text{every}(\text{boy})]_x \vdash \text{Pass}(\text{praise}(x))} \langle * \rangle}{\frac{[\text{every}(\text{boy})]_x \vdash \text{seem}(\text{Pass}(\text{praise}(x)))}{\vdash \text{every}(\text{boy})(\lambda x. \text{seem}(\text{Pass}(\text{praise}(x))))} \langle * \rangle} [\text{FA}]_1$$

retrieval

$$\frac{\Gamma, [M_i]_{x_i}, \Delta \vdash N}{\Gamma, \Delta \vdash M_i \oplus (\lambda x_i. N)} [\oplus]_i$$

# MANIPULATING STORES

pure

$$\frac{M}{\vdash M} \uparrow$$

apply

$$\frac{\Gamma \vdash M \quad \Delta \vdash N}{\Gamma, \Delta \vdash MN} \langle * \rangle$$

retrieve

$$\frac{\Gamma, [M_i]_{x_i}, \Delta \vdash N}{\Gamma, \Delta \vdash M_i \oplus (\lambda x_i. N)} [\oplus]_i$$

store

$$\frac{\vdash M}{[M]_x \vdash x} \square$$

# MORE NOTATION

## idiom brackets

**write**  $(f a_1 \dots a_i)$   
**for**  $f^\uparrow \langle * \rangle a_1 \langle * \rangle \dots \langle * \rangle a_i$

## application

**Forward**  $f \triangleright a := f a$   
**Backward**  $a \triangleleft f := f a$



# UNPACKING THE NOTATION

Recall that

$$\lambda m, n. (m \triangleright n)$$

means

$$\lambda m, n. (\triangleright)^\uparrow \langle * \rangle m \langle * \rangle n$$

$$\frac{\frac{\overline{\triangleright}}{\Gamma \triangleright} \uparrow \quad \frac{(m)}{\Gamma \vdash M} \langle * \rangle}{\Gamma \vdash M \triangleright} \quad \frac{(n)}{\Delta \vdash N} \langle * \rangle}{\Gamma, \Delta \vdash M \triangleright N} \langle * \rangle$$

# MINIMALIST SEMANTICS

**[[merge]]**  $\mapsto \lambda m, n. (m \oplus n)$

**[[merge]]**  $\mapsto \lambda m, n. (m \oplus \square n)$

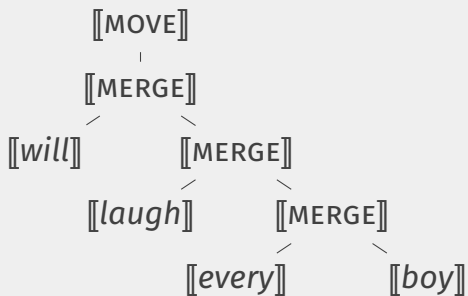
**[[move]]**  $\mapsto \lambda m. m$

**[[move]]**  $\mapsto \lambda m. [\oplus]^k m$

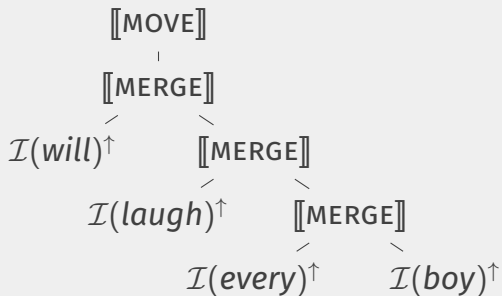
**[[ $\ell$ ]]**  $= \mathcal{I}(\ell)^\dagger$

for  $\oplus \in \{\triangleright, \triangleleft\}$

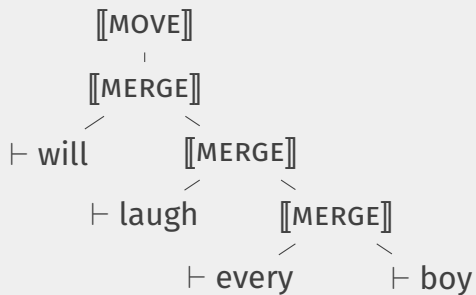
# EXAMPLE



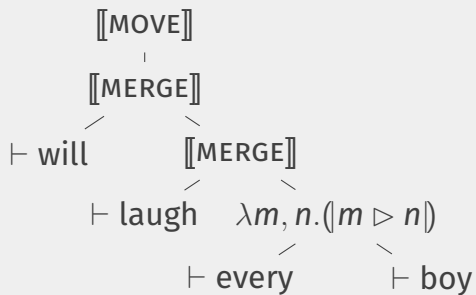
# EXAMPLE



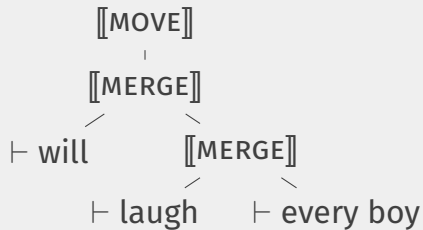
# EXAMPLE



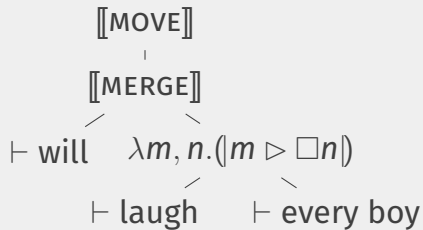
# EXAMPLE



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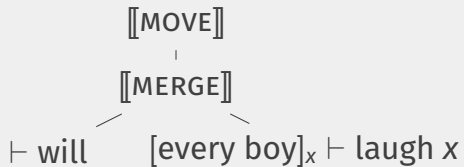


# EXAMPLE

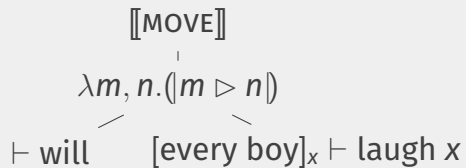




# EXAMPLE



# EXAMPLE



# EXAMPLE

[[MOVE]]  
|  
[every boy]<sub>x</sub> ⊢ will (laugh x)

# EXAMPLE

$$\lambda m. [\triangleright]_1 m$$

|

$$[\text{every boy}]_x \vdash \text{will (laugh } x)$$

# EXAMPLE

⊢ every boy ( $\lambda x.$ will (laugh  $x$ ))

# DERIVATIONS

# PLAN

- Explain what derivations are
- Show relation between derivations and more familiar derived structures

## Main claim

Syntactic structures are and *always have been* derivations

# DERIVATIONS ARE RECIPES

- **lexical items** are ingredients
- **merge** and **move** instead of bake, beat, stir ...

*Chocolate Chip Cookies*

1 cup butter	1 1/4 tsp baking soda
1 cup sugar	2 1/4 cup flour
2 eggs	1/2 cup nuts (optional)
1 cup brown sugar	1 large package of chocolate chips
1Tbsp vanilla	

Preheat oven to 350° F.  
Cream sugars and butter. Add eggs and vanilla. Beat well. Add baking soda and flour. Beat until well mixed. Stir in nuts and chocolate chips. Spoon 1 tsp size onto ungreased cookie sheet. Bake 12 minutes or until brown.



# DERIVATIONS ARE STRUCTURED

## Order is important

- Some things must happen before others
  - Sometimes, it doesn't matter
- 
- **merge** det and noun
  - *before* you **merge** the verb
  - **cream** sugar and butter
  - *before* you **add** the flour

# REPRESENTING DERIVATIONS

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1. select *every*

*every*

# REPRESENTING DERIVATIONS

1. select *every*
2. select *boy*

every      boy

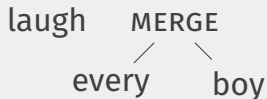
# REPRESENTING DERIVATIONS

1. select *every*
2. select *boy*
3. merge 1 and 2  
[*DP* every [*NP* boy ]]



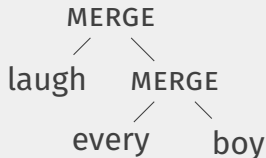
# REPRESENTING DERIVATIONS

1. select *every*
2. select *boy*
3. merge 1 and 2  
[<sub>DP</sub> every [<sub>NP</sub> boy ]]
4. select *laugh*



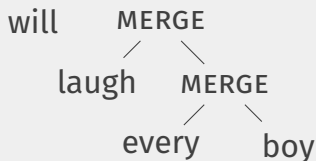
# REPRESENTING DERIVATIONS

1. select *every*
2. select *boy*
3. merge 1 and 2  
[<sub>DP</sub> every [<sub>NP</sub> boy ]]
4. select *laugh*
5. merge 4 and 3  
[<sub>VP</sub> laugh [<sub>DP</sub> every boy ]]



# REPRESENTING DERIVATIONS

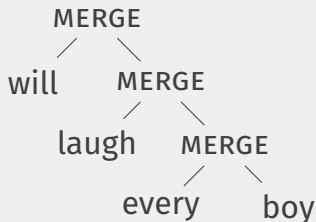
1. select *every*
2. select *boy*
3. merge 1 and 2  
[<sub>DP</sub> every [<sub>NP</sub> boy ]]
4. select *laugh*
5. merge 4 and 3  
[<sub>VP</sub> laugh [<sub>DP</sub> every boy ]]
6. select *will*





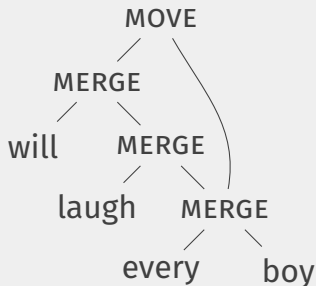
# REPRESENTING DERIVATIONS

1. select *every*
2. select *boy*
3. merge 1 and 2  
[<sub>DP</sub> every [<sub>NP</sub> boy ]]
4. select *laugh*
5. merge 4 and 3  
[<sub>VP</sub> laugh [<sub>DP</sub> every boy ]]
6. select *will*
7. merge 6 and 5  
[<sub>IP</sub> will [<sub>VP</sub> laugh [<sub>DP</sub> every boy ]]]

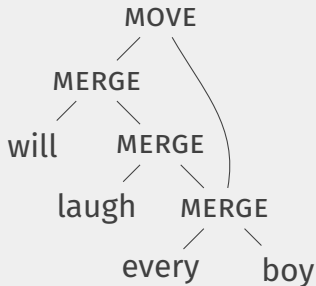


# REPRESENTING DERIVATIONS

1. select *every*
2. select *boy*
3. merge 1 and 2  
[*DP* every [*NP* boy ]]
4. select *laugh*
5. merge 4 and 3  
[*VP* laugh [*DP* every boy ]]
6. select *will*
7. merge 6 and 5  
[*IP* will [*VP* laugh [*DP* every boy ]]]
8. move *every boy*  
[*IP*[*DP* every boy ]][*I'* will [*VP* laugh *t*]]]



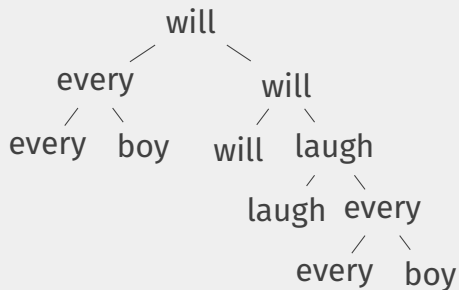
# THE STRUCTURE OF DERIVATIONS



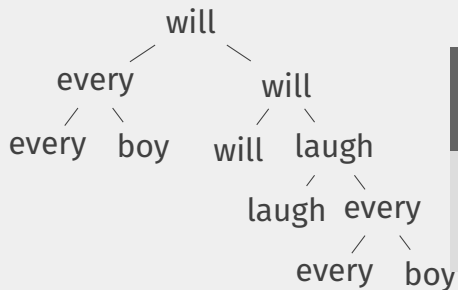
**x dominates y:** x was built using y

**x c-commands y:** x's sister was built using y

# STRUCTURE IN MINIMALISM

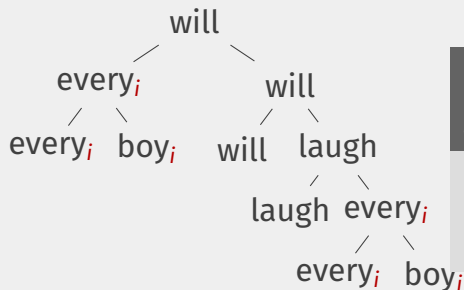


# STRUCTURE IN MINIMALISM



occurrences of *every boy*  
are "non-distinct"

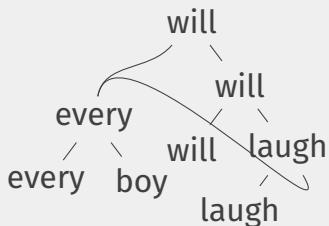
# STRUCTURE IN MINIMALISM



occurrences of *every boy*  
are "non-distinct"

- coindexation

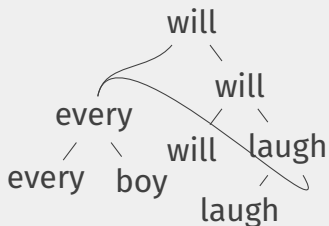
# STRUCTURE IN MINIMALISM



occurrences of *every boy*  
are "non-distinct"

- coindexation
- multiple dominance

# STRUCTURE IN MINIMALISM



occurrences of *every boy*  
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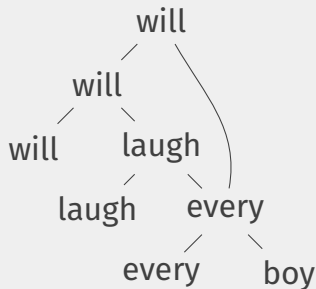
- coindexation
- multiple dominance

## Antisymmetry

Order not meaningful



# STRUCTURE IN MINIMALISM



occurrences of *every boy*  
are "non-distinct"

- coindexation
- multiple dominance

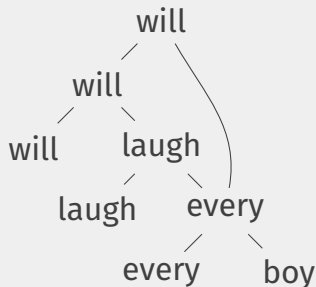
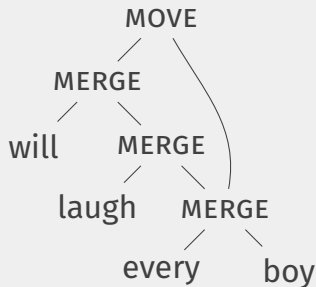
## Antisymmetry

Order not meaningful

# DERIVATIONS OF DERIVED STRUCTURES

*every boy will laugh*

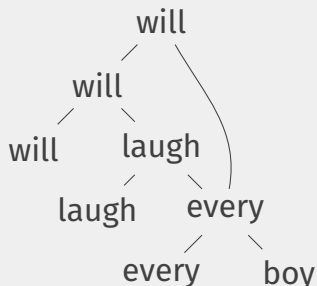
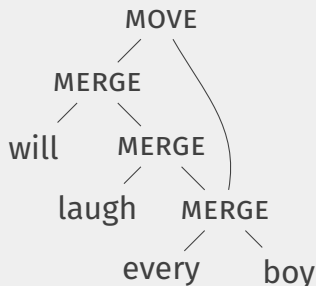
- has the structure on the right
- constructed via the process on the left



# DERIVATIONS OF DERIVED STRUCTURES

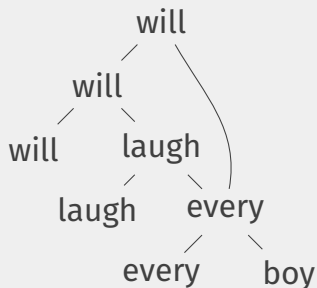
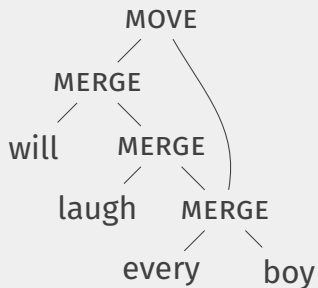
The derivation is building a copy of itself

Derived structure is a reification of the structure of the derivational process



# DERIVATIONS OF DERIVED STRUCTURES

We have been writing derivation trees all along



# THE DERIVATIONAL PERSPECTIVE

## Structure = derivation

the derivational process structures expressions  
*in just the way we want*

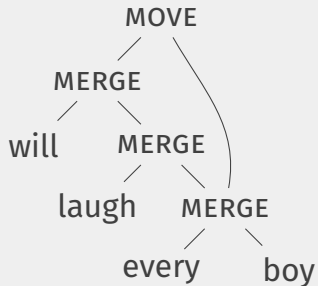
## Practical consequences

no post-facto alteration of structure  
*build it the way you want it*

## Conceptual benefit

two structures are identical  
*when they describe the same process*

# THE DETERMINACY OF MOVEMENT



Attract Closest

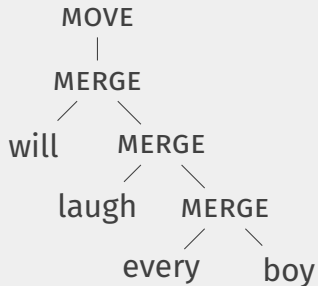
Minimal Link

Shortest Move

SMC

can only be 1 thing moving  
for a particular reason at any  
time

# THE DETERMINACY OF MOVEMENT



Attract Closest

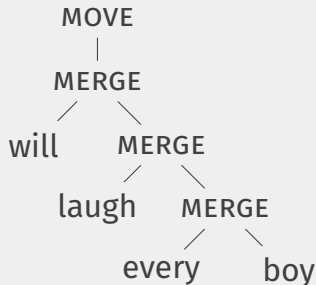
Minimal Link

Shortest Move

SMC

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for a particular reason at any  
time

# THE DETERMINACY OF MOVEMENT



$$\text{MERGE}(\alpha, \beta) = \{\alpha, \beta\}$$

$$\text{MOVE}(\alpha) = \text{MERGE}(\alpha, \alpha) = \{\alpha\}$$

$$\{\{\text{will}, \{\text{laugh}, \{\text{every}, \text{boy}\}\}\}\}$$

- No tampering
- No indices
- No lexical (sub-)arrays



# A FAMILIAR PICTURE

*Syntactic structure is no more than the trace of the algorithm which delivers the interpretation*

(Steedman, 2000)